



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

Huver Hu

Serial No. 10/047,146

Filed: January 14, 2002

Title: SECURITY DOCUMENT MANUFACTURING
METHOD USING HALFTONE DOTS THAT
CONTAIN MICROSCOPIC IMAGES

Box Missing Parts
Assistant Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

SUBMISSION OF CORRECTED APPLICATION PAPERS

In response to the "Notice to File Corrected Application Papers" dated March 14, 2002 (copy appended), please find enclosed herewith the following:

1. 17 pages of what the undersigned believes to be scannable, and therefore acceptable, drawings.

Should any further fees be required, the Office is authorized to deduct the same from Deposit Account No. 19-0552.

Respectfully submitted,

HOVEY WILLIAMS LLP

by

Scott R. Brown, Reg. No. 40,535
2405 Grand Boulevard, Suite 400
Kansas City, Missouri 64108
(816) 474-9050

ATTORNEYS FOR APPLICANT

(Docket No. 31861)

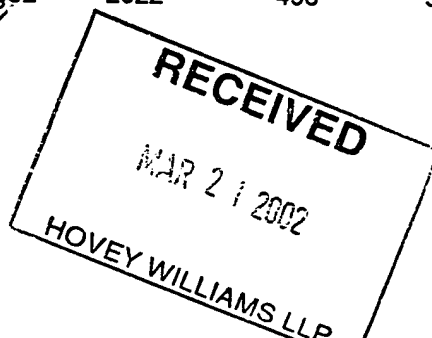


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/047,146	01/14/2002	2622	496	31861	17	18	6

HOVEY WILLIAMS LLP
Suite 400
2405 Grand
Kansas City, MO 64108



CONFIRMATION NO. 4232

FILING RECEIPT



OC00000007643366

Date Mailed: 03/14/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Huver Hu, Kansas City, MO;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/312,170 08/14/2001

Foreign Applications

If Required, Foreign Filing License Granted 03/14/2002

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Security document manufacturing method and apparatus using halftone dots that contain microscopic images

Preliminary Class

358

ALECTO

MAR 21 2002

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

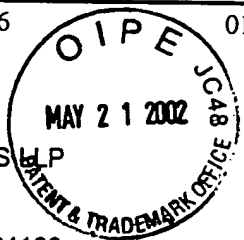


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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,146	01/14/2002	Huver Hu	31861

HOVEY WILLIAMS LLP
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2405 Grand
Kansas City, MO 64108



CONFIRMATION NO. 4232

FORMALITIES LETTER



OC000000007643367

Date Mailed: 03/14/2002

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Phuong Bui
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

ALECTO

MAR 1 2002

ENTERED BY *Agua*



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of) Examiner:
) Group Art Unit: 2622
Huver Hu)
)
Serial No. 10/047,146)
)
Filed: January 14, 2002)
)
Title: SECURITY DOCUMENT MANUFACTURING)
METHOD USING HALFTONE DOTS THAT)
CONTAIN MICROSCOPIC IMAGES)

TRANSMITTAL

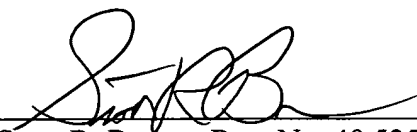
Transmitted herewith are: Petition for Extension of Time; \$55.00 filing fee; Submission of Corrected Application Papers Cover Letter; Copy of Notice to File Corrected Application; Seventeen (17) drawing sheets; and return postcard. These documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Box Missing Parts, The Assistant Commissioner for Patents, Washington, D.C. 20231 on May 21, 2002.

EV 116065537 US

Respectfully submitted,

HOVEY WILLIAMS LLP

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